

# Molecular Dynamics for Fermions

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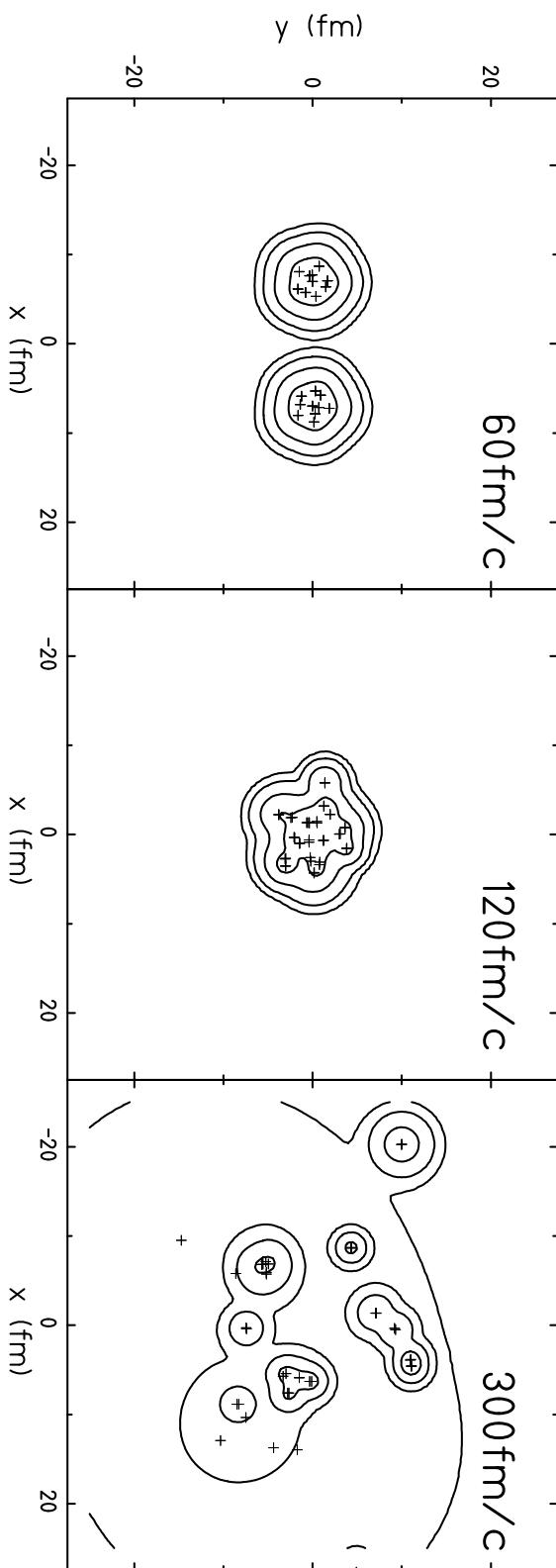
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Description of many-fermion systems like atomic nuclei, plasmas or atomic gases in magnetic traps in terms of dynamics and thermodynamics.

## Aim



$^{40}\text{Ca}$ - $^{40}\text{Ca}$ -reaction at  $E_{Lab} = 35\text{A MeV}$

# Why molecular dynamics for fermions?

## Multifragmentation reactions:

- large fluctuations in the final results;
- cannot be accounted for with TDHF, because nucleons are too fast ( $\varepsilon > \varepsilon_F \approx 30 \text{ MeV}$ );
- no common mean field established;
- **molecular dynamics better suited.**

## Molecular dynamics:

- molecular dynamics means: single-particle states always localized;
- **“trajectory” calculations for fermions;**
- quantum mechanically necessary ingredients: Heisenberg uncertainty principle, Pauli exclusion principle;
- dynamics will be non-linear through localization.

# Systematic creation of a model

## 1. Trial state:

- definition of a trial state which has the necessary degrees of freedom;
- working with quantum states automatically guarantees the Heisenberg uncertainty principle;
- using antisymmetrized states automatically guarantees the Pauli principle.

## 2. Ground states:

- Ritz variational principle defines ground states;
- aim: reasonable (nuclear) ground state properties like binding energy and rms radius;
- usually phenomenological interaction suited for choice of trial states.

## 3. Dynamics:

- time-dependent variational principle defines dynamics;
- aim: dynamics should cover the phenomena of the desired energy range, i.e. fusion, deeply inelastic reactions, evaporation, fragmentation, vaporisation.

## 4. Open problems:

- restricted dynamics causes barriers in Hilbert space;
- due to localization no tunneling or branching.

# Applications

## Nuclear physics

- **Classical Molecular Dynamics (CMD):** Pauli and possibly Heisenberg potential to correct for missing quantum features; applied to collisions;
- **Quantum Molecular Dynamics (QMD):** product state of gaussian wave packets and Pauli potential to mock effects of antisymmetrization; wide spread in nuclear physics because simple; applied to collisions;

- **Antisymmetrized Molecular Dynamics (AMD):** Slater determinant of gaussian wave packets with fixed width (coherent states); applied to collisions;

- **Fermionic Molecular Dynamics (FMD):** Slater determinant of gaussian wave packets with time-dependent width; applied to collisions and thermodynamics;

## Atomic physics

- **Classical Molecular Dynamics:** many groups worldwide; description of atomic clusters etc.;
- **Quantum Molecular Dynamics:** product state of gaussian wave packets and Pauli potential to mock effects of antisymmetrization; description of Hydrogen plasma.

# Time-Dependent Variational Principle

TDVP<sup>a</sup> with trial state  $|Q(t)\rangle = |\{q_\mu(t)\}\rangle$ :

$$\delta \int_{t_1}^{t_2} dt \mathcal{L}(Q(t), \dot{Q}(t)) = \delta \int_{t_1}^{t_2} dt \langle Q(t) | i \frac{d}{dt} - \mathcal{H} | Q(t) \rangle = 0$$

A variation of  $\langle Q(t) |$  in the complete Hilbert space yields the Schrödinger equation.

Euler–Lagrange equations in their most general form:

$$\sum_\nu A_{\mu\nu}(Q(t)) \dot{q}_\nu = - \frac{\partial}{\partial q_\mu} \langle Q(t) | \mathcal{H} | Q(t) \rangle$$

$$A_{\mu\nu}(Q(t)) = \frac{\partial^2 \langle Q(t) | i \frac{d}{dt} | Q(t) \rangle}{\partial \dot{q}_\mu \partial q_\nu} - \frac{\partial^2 \langle Q(t) | i \frac{d}{dt} | Q(t) \rangle}{\partial \dot{q}_\nu \partial q_\mu}$$

- variation in the set of Slater determinants leads to TDHF
- variation with localized single-particle states leads to various kinds of quantum molecular dynamics models

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<sup>a</sup>P. Kramer, M. Saraceno, Lecture Notes in Physics 140, Springer, Berlin (1981)

# Conservation laws

## 1. Generalized Poisson Brackets

$\mathcal{B}$  be a time-independent operator,  $\mathcal{H} = \langle Q(t) | \mathcal{H} | Q(t) \rangle$

$$\frac{d}{dt} \mathcal{B}(t) = \frac{d}{dt} \langle Q(t) | \mathcal{B} | Q(t) \rangle = \sum_{\nu} \dot{q}_{\nu} \frac{\partial}{\partial q_{\nu}} \mathcal{B} = \sum_{\mu, \nu} \frac{\partial \mathcal{H}}{\partial q_{\mu}} \mathcal{A}_{\mu\nu}^{-1} \frac{\partial \mathcal{B}}{\partial q_{\nu}} =: \{\mathcal{H}, \mathcal{B}\}$$

## 2. Conservation Laws

- for time-independent operators  $\mathcal{B}$

$$\frac{d}{dt} \mathcal{B}(t) = 0 \text{ if } \{\mathcal{H}, \mathcal{B}\} = \sum_{\mu, \nu} \frac{\partial \mathcal{H}}{\partial q_{\mu}} \mathcal{A}_{\mu\nu}^{-1} \frac{\partial \mathcal{B}}{\partial q_{\nu}} = 0$$

obviously for  $\mathcal{H}$  since  $\mathcal{A}$  and  $\mathcal{A}^{-1}$  skew symmetric

- for generators  $\mathcal{G}$  which do not map out of the set of trial states and commute with  $\mathcal{H}$

$$\frac{d}{dt} \mathcal{G}(t) = \{\mathcal{H}, \mathcal{G}\} = \langle Q(t) | i [ \mathcal{H}, \mathcal{G} ] | Q(t) \rangle$$

Relates the choice of the trial state to possible conservation laws, e.g. total momentum conservation is possible if a translated trial state is again a valid trial state.

## Example 1 – Schrödinger Equation

$$\delta \int_{t_1}^{t_2} dt \mathcal{L}(Q(t), \dot{Q}(t)) = \delta \int_{t_1}^{t_2} dt \langle Q(t) | i \frac{d}{dt} - H | Q(t) \rangle = 0$$

$$|Q\rangle = |\rho_1, \rho_2, \dots, \pi_1, \pi_2, \dots\rangle = \sum_n c_n |n\rangle \equiv \sum_n \frac{1}{\sqrt{2}} (\rho_n + i\pi_n) |n\rangle$$

$$\mathcal{L} = - \sum_n \rho_n \dot{\pi}_n - \mathcal{H}(\rho_1, \rho_2, \dots, \pi_1, \pi_2, \dots) + \text{total time derivative}$$

$$\frac{d}{dt} \pi_n = -\frac{\partial \mathcal{H}}{\partial \rho_n} \quad \text{and} \quad \frac{d}{dt} \rho_n = \frac{\partial \mathcal{H}}{\partial \pi_n}$$

These classical looking equations of motion are equivalent to the time-dependent Schrödinger equation and provide the full quantum solution (if  $\{|n\rangle\}$  is complete).

## Example 2 – WPMD, QMD

$$\delta \int_{t_1}^{t_2} dt \mathcal{L}(Q(t), \dot{Q}(t)) = \delta \int_{t_1}^{t_2} dt \langle Q(t) | i \frac{d}{dt} - H | Q(t) \rangle = 0$$

$$|Q\rangle = |\vec{r}_1, \vec{p}_1\rangle \otimes |\vec{r}_2, \vec{p}_2\rangle \otimes \cdots \otimes |\vec{r}_N, \vec{p}_N\rangle$$

$$\langle \vec{x} | \vec{r}_k, \vec{p}_k \rangle = \left( \frac{1}{\pi a_0} \right)^{3/4} \exp \left\{ - \frac{(\vec{x} - \vec{r}_k)^2}{2 a_0} + i \vec{p}_k \cdot \vec{x} \right\}$$

$$\mathcal{L} = - \sum_{k=1}^N \vec{r}_k \dot{\vec{p}}_k - \mathcal{H}(\vec{r}_1, \vec{p}_1, \dots, \vec{r}_N, \vec{p}_N) \Rightarrow \frac{d}{dt} \vec{p}_k = - \frac{\partial \mathcal{H}}{\partial \vec{r}_k} \quad \text{and} \quad \frac{d}{dt} \vec{r}_k = \frac{\partial \mathcal{H}}{\partial \vec{p}_k}$$

- product state describes distinguishable particles; most classical MD;
- impact of Pauli principle on dynamics sometimes mocked by additional terms in  $\mathcal{H}(\vec{r}_1, \vec{p}_1, \dots, \vec{r}_N, \vec{p}_N)$  – “Pauli potential”;
- E.J. Heller, J. Chem. Phys. **62** (1975) 1544

## Example 3 – EQMD

$$\delta \int_{t_1}^{t_2} dt \mathcal{L}(Q(t), \dot{Q}(t)) = \delta \int_{t_1}^{t_2} dt \langle Q(t) | i \frac{d}{dt} - \hat{H} | Q(t) \rangle = 0$$

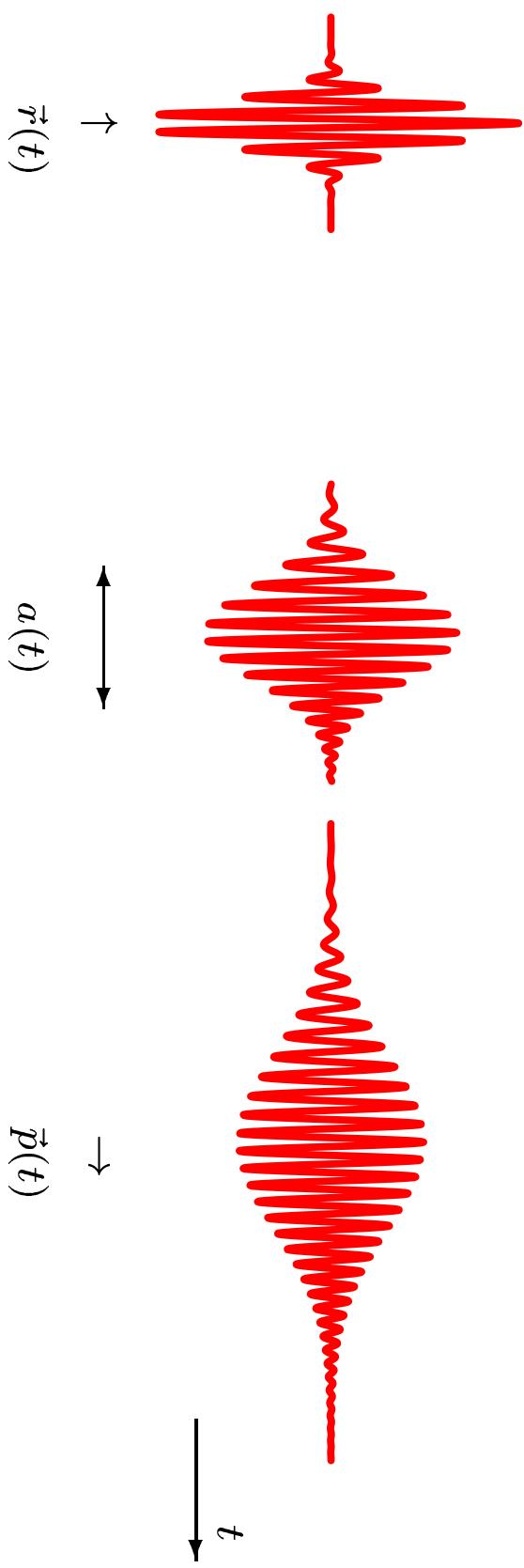
$$\begin{aligned} |Q\rangle &= |\vec{r}_1, \vec{p}_1, a_1\rangle \otimes \cdots \otimes |\vec{r}_N, \vec{p}_N, a_N\rangle \\ \langle \vec{x} | \vec{r}_l, \vec{p}_l, a_l \rangle &= \left(2\pi \frac{a_l^* a_l}{a_l^* + a_l}\right)^{-3/4} \exp \left\{ -\frac{(\vec{x} - \vec{r}_l)^2}{2 a_l} + i \vec{p}_l \cdot \vec{x} \right\} \end{aligned}$$

The width parameter  $a_l(t)$  is a first non-classical degree of freedom.

### Example 3 – Free motion

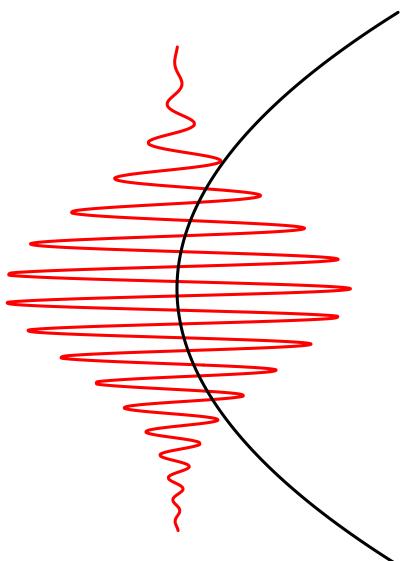
$$\langle \vec{x} | \vec{r}_l, \vec{p}_l, a_l \rangle = \left( 2\pi \frac{a_l^* a_l}{a_l^* + a_l} \right)^{-3/4} \exp \left\{ - \frac{(\vec{x} - \vec{r}_l)^2}{2 a_l} + i \vec{p}_l \cdot \vec{x} \right\}$$

$$\frac{d}{dt} \vec{r}_l = \frac{\vec{p}_l}{m_l}, \quad \frac{d}{dt} \vec{p}_l = 0, \quad \frac{d}{dt} a_l = \frac{i}{m_l}$$



## Example 3 – Non-interacting particles moving in a harmonic oscillator

$$\langle \vec{x} | \vec{r}_l, \vec{p}_l, a_l \rangle = \left( 2\pi \frac{a_l^* a_l}{a_l^* + a_l} \right)^{-3/4} \exp \left\{ - \frac{(\vec{x} - \vec{r}_l)^2}{2 a_l} + i \vec{p}_l \cdot \vec{x} \right\}$$



$$\begin{aligned} \frac{d}{dt} \vec{r}_l &= \frac{\vec{p}_l}{m_l}, & \frac{d}{dt} \vec{p}_l &= -m_l \omega^2 \vec{r}_l \\ \frac{d}{dt} a_l &= \frac{i}{m_l} - i m_l \omega^2 a_l^2 \end{aligned}$$

Oscillation of the wave-packet centres  $(\vec{r}_l(t), \vec{p}_l(t))$  as well as of the widths  $a_l(t)$ .

## Example 4 – FMD

$$\delta \int_{t_1}^{t_2} dt \mathcal{L}(Q(t), \dot{Q}(t)) = \delta \int_{t_1}^{t_2} dt \langle Q(t) | i \frac{d}{dt} - H | Q(t) \rangle = 0$$

Fermionic Molecular Dynamics (FMD)<sup>a</sup>:

$$\begin{aligned} |Q(t)\rangle &\propto \frac{1}{N!} \sum_{\pi} \text{sgn}(\pi) |q_{\pi(1)}(t)\rangle \otimes \cdots \otimes |q_{\pi(N)}(t)\rangle \\ \langle \vec{x} | q_l(t) \rangle &= \langle \vec{x} | \vec{r}_l, \vec{p}_l, a_l, \chi_l, \phi_l \rangle = \exp \left\{ -\frac{(\vec{x} - \vec{r}_l)^2}{2 a_l} + i \vec{p}_l \cdot \vec{x} \right\} \otimes |\chi_l(t), \phi_l(t)\rangle \otimes |m_t(l)\rangle \end{aligned}$$

- antisymmetrized many-body state: a priori Pauli principle

- exact for free motion and common harmonic oscillator

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<sup>a</sup>H. Feldmeier, Nucl. Phys. A **515** (1990) 147;  
H. Feldmeier, J. Schnack, Rev. Mod. Phys. **72** (2000) 655

## FMD – Expectation values

Inverse overlap matrix:

Wave packets  $|q_k\rangle$  are not orthogonal, therefore expectation values involve an inverse overlap matrix  $\mathcal{O}$ :

$$(\mathcal{O}^{-1})_{kl} := \langle q_k | q_l \rangle$$

Kinetic energy:

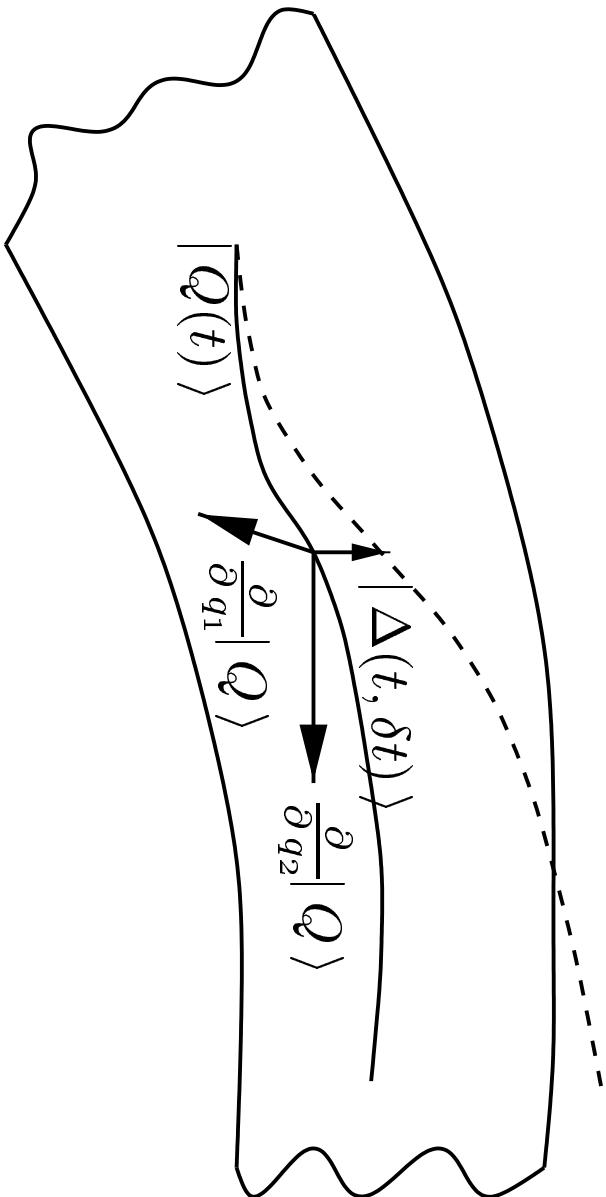
$$\langle Q | \tilde{T} | Q \rangle = \sum_{k,l}^N \langle q_k | \tilde{t}_l | q_l \rangle \mathcal{O}_{lk}$$

Two-body interaction:

$$\langle Q | V | Q \rangle = \frac{1}{2} \sum_{k,l,m,n}^N \langle q_k q_l | v | q_m q_n \rangle (\mathcal{O}_{mk} \mathcal{O}_{nl} - \mathcal{O}_{ml} \mathcal{O}_{nk})$$

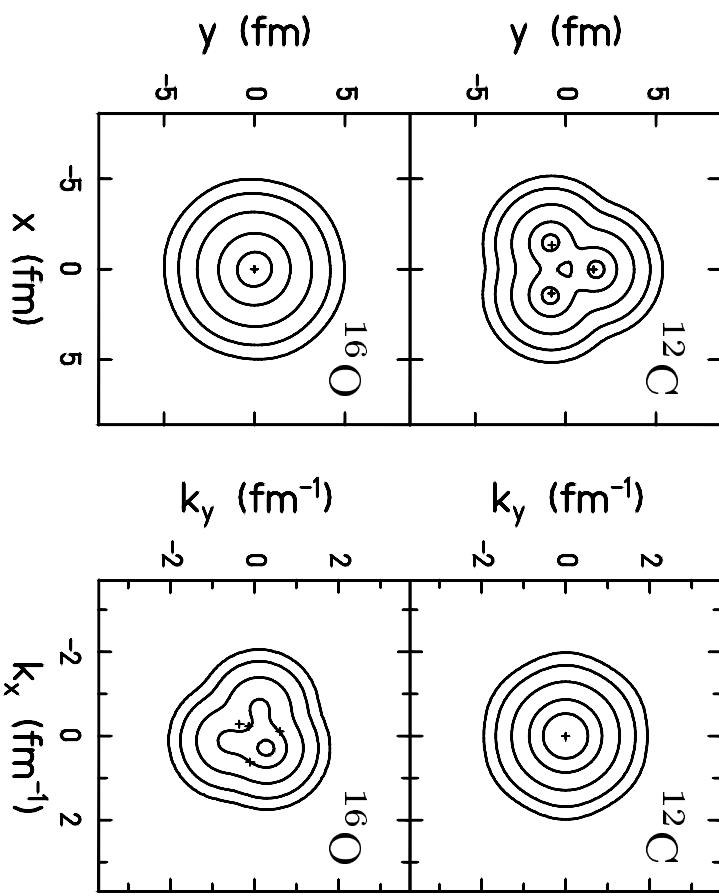
⇒ Total effort scales with  $N^4$ ,  
in classical MD or for simple product states with  $N^2$ .

## Error due to restriction of the wave function



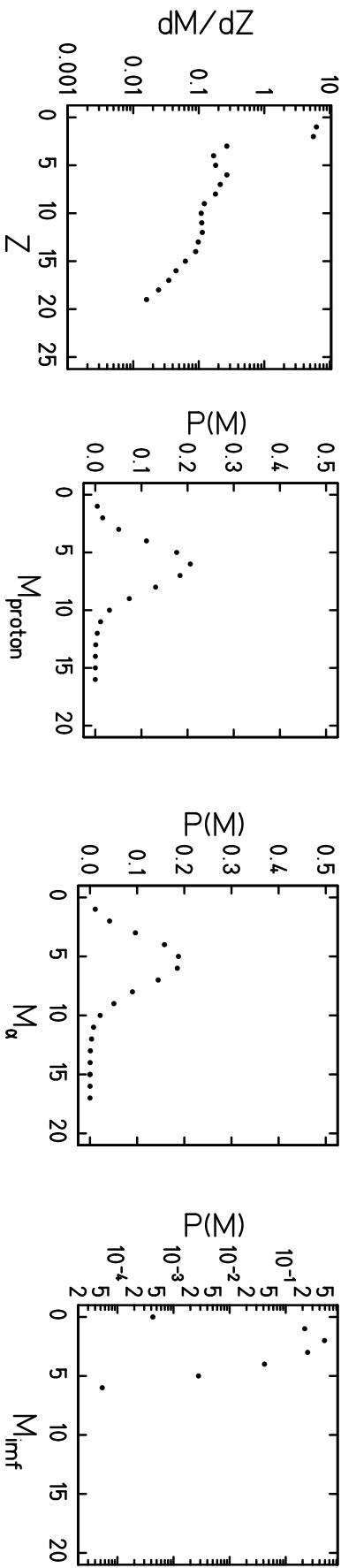
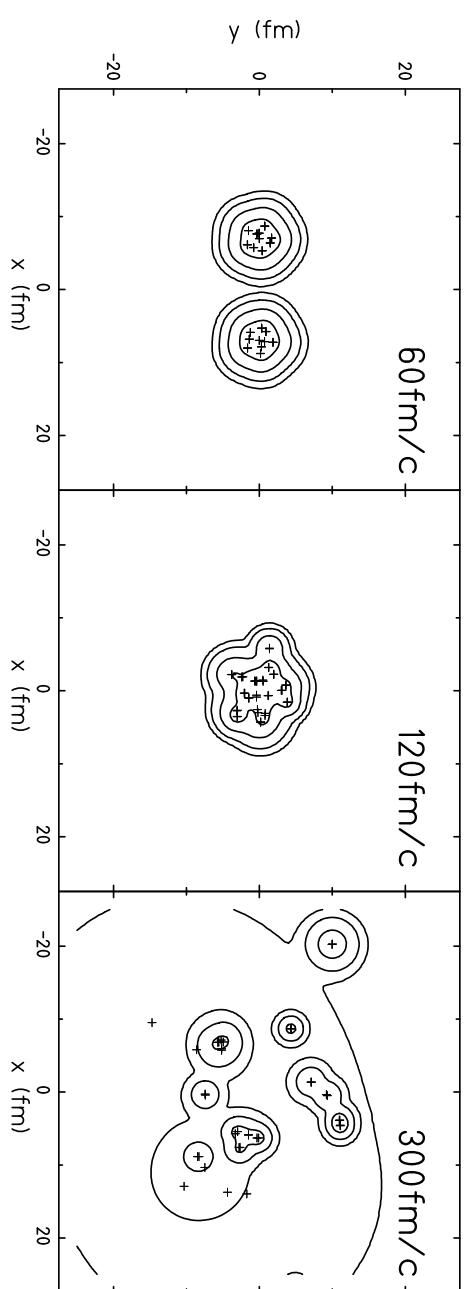
The error  $|\Delta(t, \delta t)\rangle = \exp(-i\tilde{H}\delta t)|Q(t)\rangle - |Q(t + \delta t)\rangle$  is orthogonal to all tangent states  $\frac{\partial}{\partial q_\nu}|Q\rangle$ . Thus the TDVP-evolved state has the minimum distance  $\langle \Delta(t, \delta t) | \Delta(t, \delta t) \rangle$  to the exact solution.

## Ground States



- ground state  $|Q\rangle$  lowest one in energy  
(Ritz variational principle)
- $\frac{\partial}{\partial q_\mu} \langle Q | H | Q \rangle = 0 \quad \forall q_\mu$
- $\Rightarrow \dot{q}_\mu = 0 \quad \forall q_\mu$ , stationary
- for experts: take care of centre of mass motion
- phenomenological interaction suited for choice of trial states; short range repulsion & long range attraction
- graphics shows single-particle density for selected nuclear ground states

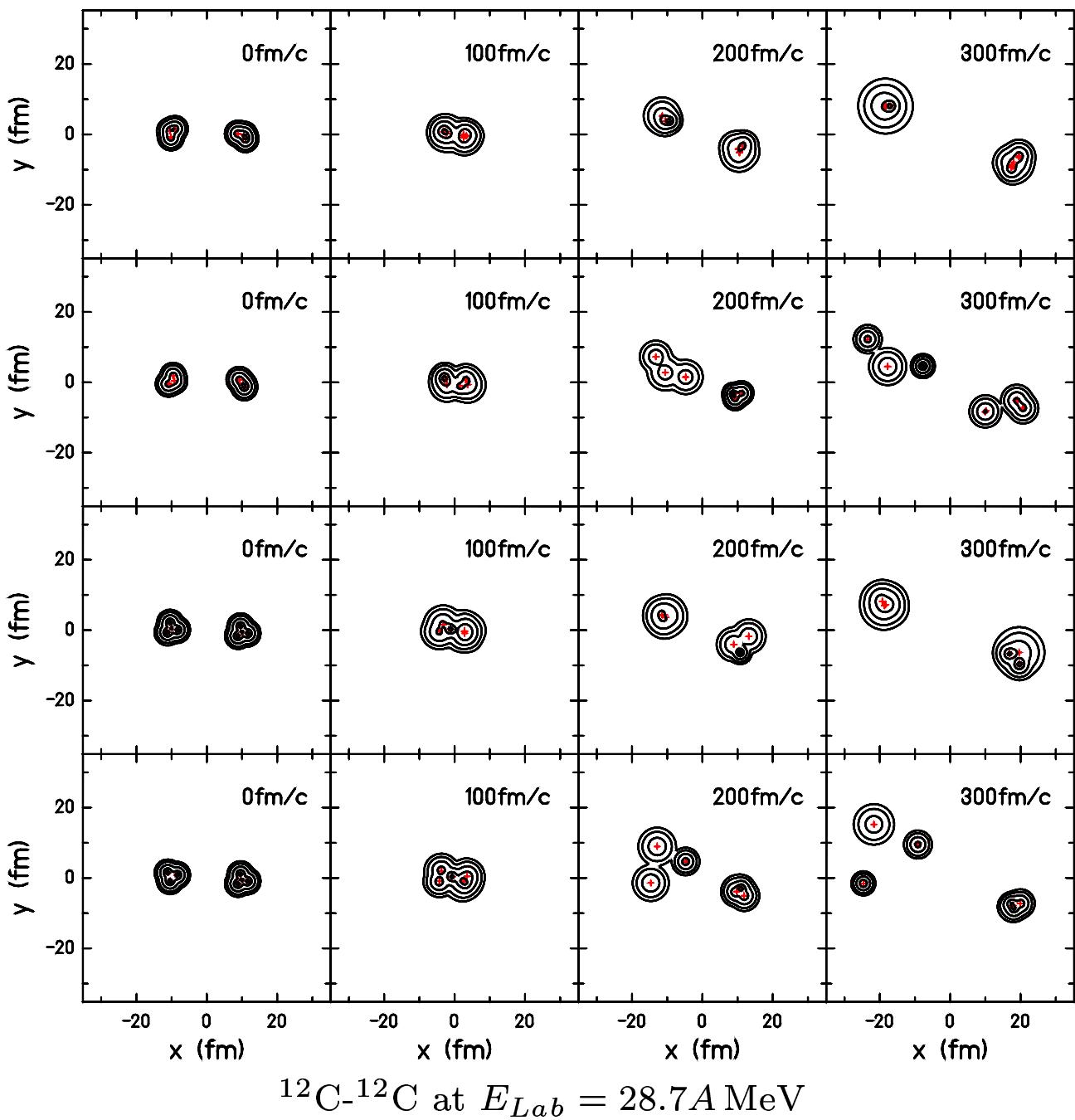
# Multifragmentation



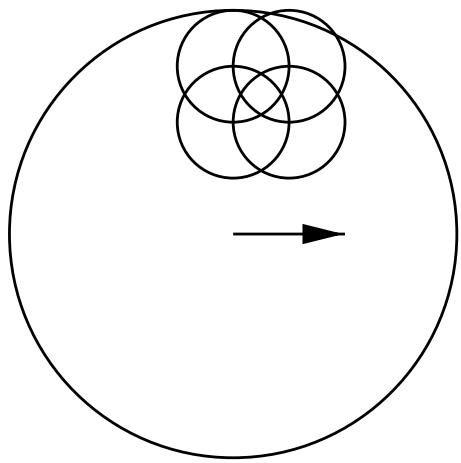
$^{40}\text{Ca}-^{40}\text{Ca}$  at  $E_{Lab} = 35\text{A MeV}$   
experiment: K. Hagel et al., Phys. Rev. C50 (1994) 2017

# Event Ensemble

- FMD ground states have to be treated like intrinsically deformed Hartree–Fock states.
- The event ensemble consists of all orientations of the two initial ground states:  $|Q; \vec{\Omega}_1, \vec{\Omega}_2\rangle$ .  
The same holds for the impact parameter.
- Within the ensemble large fluctuations arise.



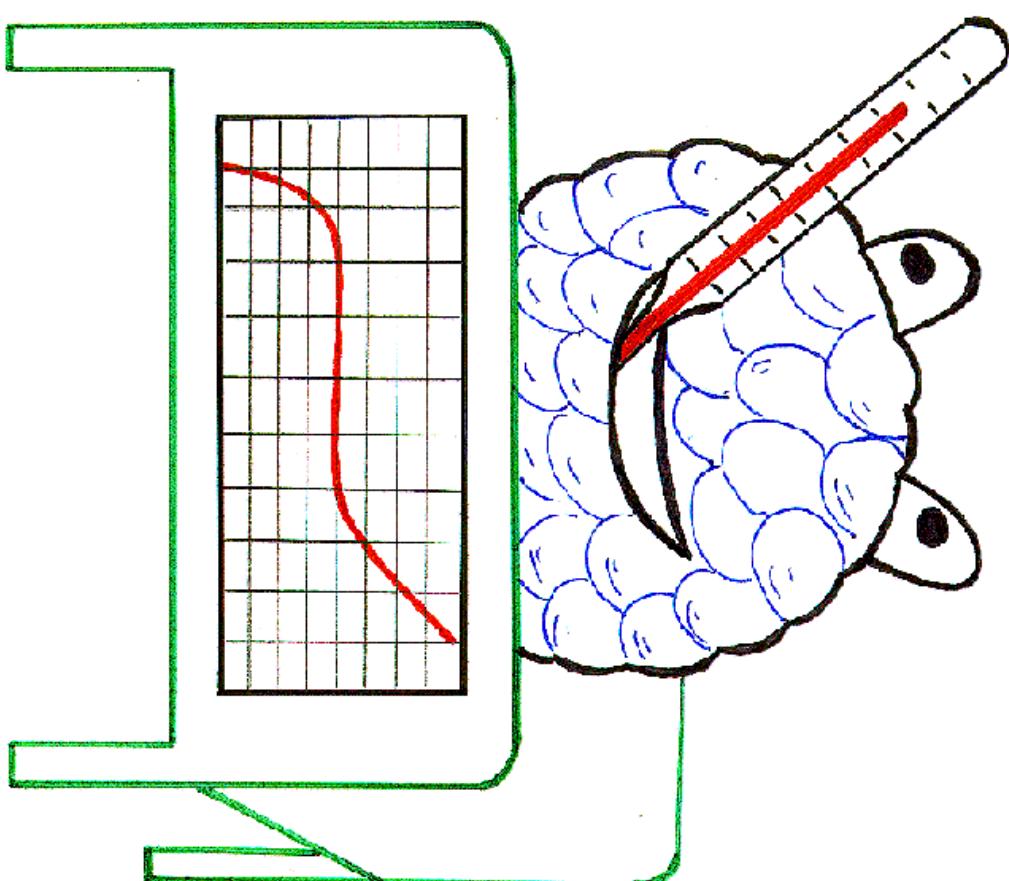
## Open questions



Pictorial sketch of a four particle cluster and a passing wave packet.

- localization implies that a wave packet moves always as a whole and does not split;
- in quantum mechanics the wave packet may split with parts getting attached to the four particle cluster;
- localization also implies that the wave packet cannot tunnel;
- **idea: quantum branching;**
- jumps  $|Q_i(t)\rangle \rightarrow |Q_j(t)\rangle$  with a probability amplitude related to the residual hamiltonian; not realized up to now, only reasonable probability distributions utilized;
- problems: conservation laws, small probabilities.

# Thermodynamics



## Thermostated molecular dynamics

Canonical ensemble

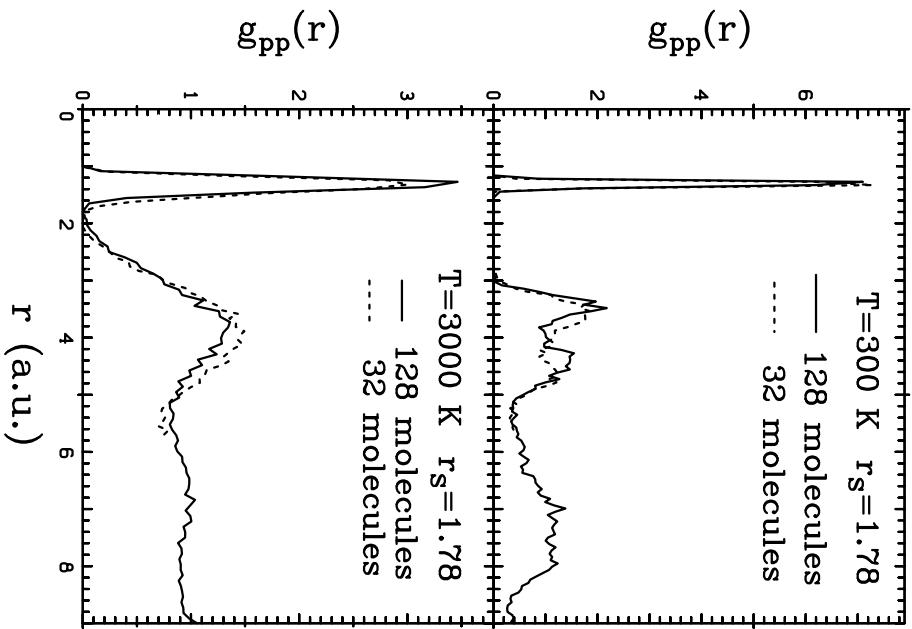
$$Z(T) = \text{tr} \left( \exp \left\{ - \frac{\tilde{H}}{k_B T} \right\} \right) = \int d\mu(Q) \langle Q | \exp \left\{ - \frac{\tilde{H}}{k_B T} \right\} | Q \rangle$$

- calculation of  $Z(T)$  impossible due to two-body interaction
- replace ensemble averages by time averages
- exact quantum dynamics also not known
- use approximate dynamics instead  $\Rightarrow$  Molecular Dynamics for Fermions

$$\overline{\langle B \rangle} = \lim_{t_2 \rightarrow \infty} \frac{1}{(t_2 - t_1)} \int_{t_1}^{t_2} dt \langle Q(t) | B | Q(t) \rangle$$

## Example

### Atomic physics – solid hydrogen<sup>a</sup>



- electrons described by wave packet molecular dynamics
- protons classical
- temperature via equipartition theorem for protons ✓

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<sup>a</sup>D. Klakow, C. Toepffer, P.-G. Reinhard, Phys. Lett. **A192** (1994) 55

# Classical Mechanics

## Nosé–Hoover–Thermostat

Introduction<sup>a,b,c</sup> of a pseudo friction coefficient  $\xi$ :

$$\frac{d}{dt} \vec{r}_i = \frac{\vec{p}_i}{m_i}, \quad \frac{d}{dt} \vec{p}_i = -\frac{\partial V}{\partial \vec{r}_i} - \xi \vec{p}_i, \quad \frac{d}{dt} \xi = \frac{1}{M_s} \left( \sum_i \frac{\vec{p}_i^2}{2m_i} - \frac{3N}{2} k_B T \right)$$

- this special thermostat uses the equipartition theorem
- $\left( \sum_i \frac{\vec{p}_i^2}{2m_i} - \frac{3N}{2} k_B T \right) > 0 \Rightarrow \text{cooling}$
- $\left( \sum_i \frac{\vec{p}_i^2}{2m_i} - \frac{3N}{2} k_B T \right) < 0 \Rightarrow \text{heating}$
- **there is no equipartition theorem for quantum systems**

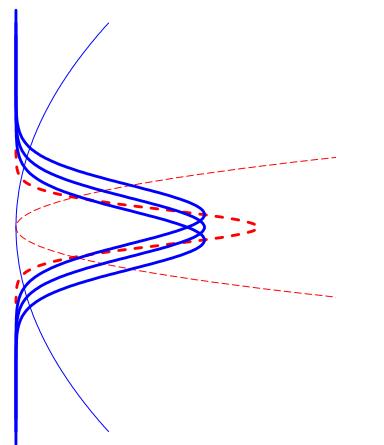
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<sup>a</sup>W.G. Hoover, Phys. Rev. **A31** (1985) 1685

<sup>b</sup>S. Nosé, Prog. of Theor. Phys. Suppl. **103**(1991) 1

<sup>c</sup>D. Kusnezov, A. Bulgac, W. Bauer, Ann. of Phys. **204** (1990) 155

# Coupling to a Thermometer



- excited nucleus: self-bound liquid drop in a large container (harmonic oscillator)
- $\mathcal{H}_N = \mathcal{T}_N + \mathcal{V}_{NN} + \mathcal{V}(\omega),$
- thermometer: single wave packet in a second oscillator with  $\omega_{Th}$ , ideal gas thermometer

$$\mathcal{H}_{Th} = \mathcal{T}_{Th} + \mathcal{V}_{Th},$$

- coupling of all nucleons to the thermometer wave packet:

$$\mathcal{V}_{N-Th}, \quad \mathcal{H} = \mathcal{H}_N + \mathcal{H}_{Th} + \mathcal{V}_{N-Th}, \quad |Q(t)\rangle = |nucleus\rangle \otimes |thermometer\rangle,$$

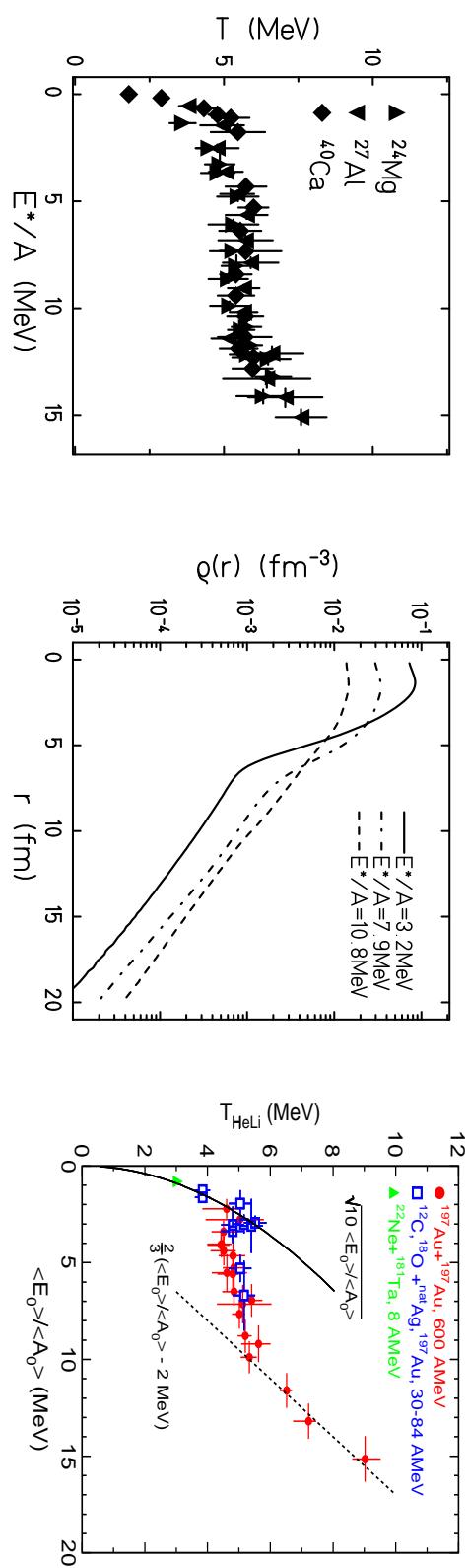
- time-averaging:

$$E_{Th} = \overline{\langle \mathcal{H}_{Th} \rangle}, \quad E^* = \overline{\langle \mathcal{H}_N - E_0 \rangle},$$

- zeroth law: both subsystems approach the same  $T$

$$T = \omega_{Th} \left[ \ln \left( \frac{E_{Th} + \frac{3}{2}\omega_{Th}}{E_{Th} - \frac{3}{2}\omega_{Th}} \right) \right]^{-1}$$

# Nuclear liquid-gas phase transition



- simulation: equilibrium due to evolution in container over long time, ideal gas thermometer

- experiment<sup>a</sup>: event-ensemble shows equilibrium properties, chemical thermometer

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<sup>a</sup>J. Pochodzalla et al., Phys. Rev. Lett. **75** (1995) 1040

## Thermostat I

Total system:

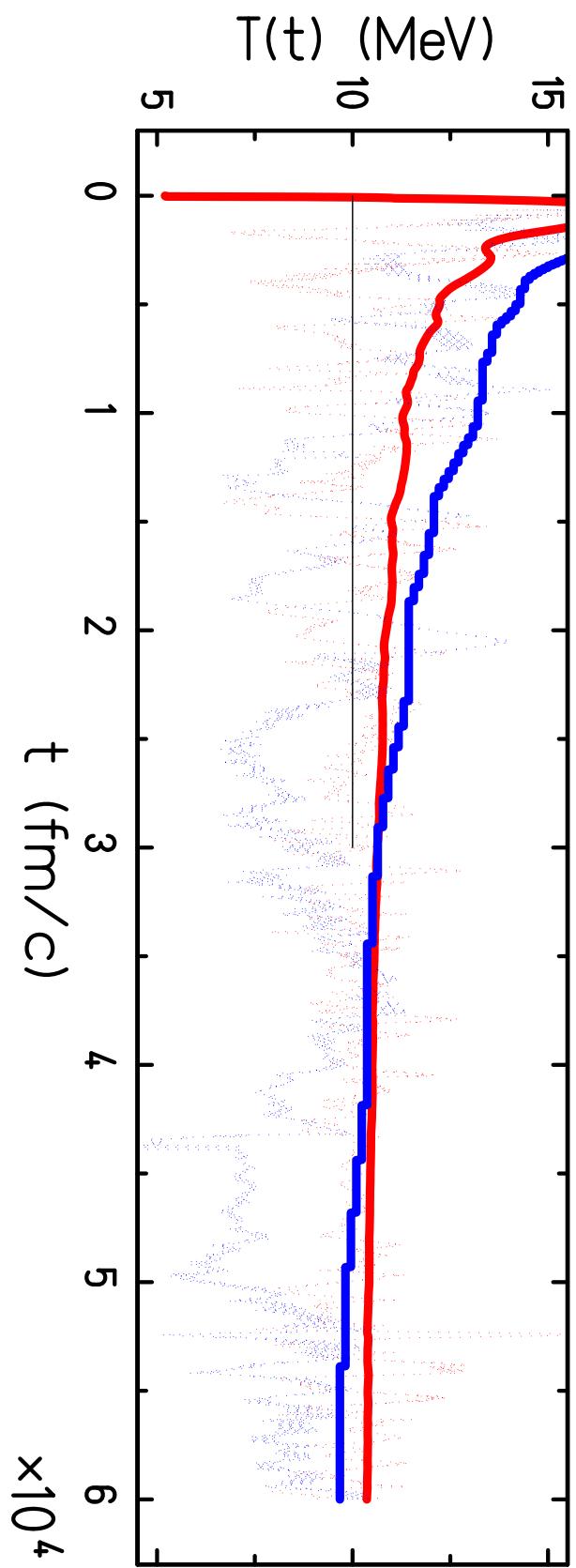
$$|Q(t)\rangle = |system(t)\rangle \otimes |thermometer(t)\rangle$$

Complex time steps:

$$d\tau = dt - i d\beta, \quad d\beta \propto (T_{th} - T)/T_{th}, \quad |Q(t)\rangle \rightarrow |Q(t + d\tau)\rangle$$

- $T$  desired temperature
- $T_{th}$  temperature measured by the thermometer
- $d\beta > 0 \Rightarrow$  cooling;  $d\beta < 0 \Rightarrow$  heating

## Thermostat II



Fermions in a harmonic oscillator:

- actual temperatures – pointed lines
- time averaged temperatures – solid lines
- thermometer – red lines, fermions – blue lines

# Summary

## Dynamics

- TDVP allows approximate quantum time evolution
- system ( $\tilde{H}$ ) and observables of interest determine how sophisticated trial state  $|Q(t)\rangle$  must be
- FMD:  $|Q(t)\rangle$  is Slater determinant of Gaussian wave packets; trial state may be improved with UCOM and configuration mixing

## Thermodynamics

- thermodynamic properties can be extracted from time evolution via coupling to a thermometer and time averaging
- a thermostat can be defined using a coupled thermometer and a feedback mechanism with complex time steps

## Problems to solve

- tunneling, branching
- realistic interactions
- reliable thermostats

## Literature

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